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Research paper Health literacy and socioeconomic characteristics among older people in transitional Kosovo

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ABSTRACT (ARIAL, BOLD, 11 FONT, LEFT ALIGNED, CAPS)

ABSTRACT

Aims: Health literacy among older people has received little attention in transitional countries of Southeast Europe. Our aim was to assess the level and socioeconomic correlates of health literacy among older people in Kosovo, a post-war country in the Western Balkans.

Study design: Cross-sectional study.

Place and duration of study: Kosovo, between January-March 2011

Methods: This nationwide survey, conducted in Kosovo in 2011, included 1753 individuals aged ≥65 years (886 men, 867 women; mean age 73.4±6.3 years; response rate: 77%). Participants were asked to assess, on a scale from 1 to 5, their level of difficulty with regard to access, understanding, appraisal, and application of health information. Subscale scores and an overall health literacy score were calculated for each participant. Information on socioeconomic characteristics was also collected.

Results: Subscale scores of health literacy were strongly correlated with each-other (range of Spearman's rho: 0.8-0.9). Mean values of the overall health literacy scores were significantly higher in men, urban residents, married individuals, the highly educated, and the better off participants.

Conclusions: This may be the first report from the Western Balkans addressing health literacy in a population-based sample. Future studies in Kosovo and other settings in the region should provide further insight into the magnitude and socioeconomic determinants of health literacy which is an under-researched topic in countries of Southeast Europe.

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Keywords: aging; health information; health literacy; Kosovo; older people. 19

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21 (Note: 1. Case Reports should follow the structure of Abstract, Introduction, Presentation of Case.

22 Discussion, Conclusion, Acknowledgements, Competing Interests, Authors' Contributions, Consent 23 (where applicable), Ethical approval (where applicable), and References plus figures and/or tables.

24 Abstract (not more than 250 words) of the Case reports should have the following sections: Aims, 25

Presentation of Case, Discussion and Conclusion. Only Case Reports have word limits: Papers should 26

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1. INTRODUCTION 35

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37 Access to better information is required to support people's participation and enable them making their own health choices [1]. The decision-making process is impacted by people's 38 39 health competencies, which is linked to literacy, and entails the knowledge, motivation and 40 competence to access, understand, appraise and apply information to make decisions in 41 everyday life in terms of healthcare, disease prevention, and health promotion during the 42 course of life. Various personal characteristics, demographic and social factors may as well 43 have an impact on health literacy [2]. There are indications that low literacy leads to marked 44 variation in an individual's ability to obtain relevant health information, and in their 45 opportunity and capability to apply the information in interactions with health professionals 46 and health care services [3,4]. Consequently, low health literacy may lead to worse health 47 outcomes, ranging from worse self-rated health status, longer hospitalization and higher use of healthcare services resulting in higher healthcare costs [2,5], difficulties to follow medical 48 49 instructions [6-7], impaired ability to navigate the health system [8] and lower participation in 50 screening programs [9]. 51 Health literacy and its association with socio-demographic and socioeconomic factors have 52 been mainly studied in USA and Canada and more recently in Australia, Asia and Europe 53 [2,10]. 54 On the other hand, data on health literacy in former communist countries of the Western

55 Balkans including Kosovo are scarce. Kosovo is the newest state in Europe struggling to

56 establish a functional democracy after the breakdown of former Yugoslavia and the

57 subsequent war in the region. In the framework of a population-based survey, our aim was to

58 assess the level and socioeconomic correlates of health literacy among older people in

59 Kosovo in terms of accessing, understanding, appraising and applying the information

60 related to health care, disease prevention and health promotion.

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62 2. Material and methods

2.1 Study population 63

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A nation-wide cross-sectional study among individuals aged 65 years or older was 65 conducted in Kosovo in 2011. The study population and sampling techniques have been 66 67 described in detail elsewhere [11]. Of the initial 2400 individuals targeted for inclusion, 135 68 participants were ineligible and further 375 individuals refused to participate, leading to 1890 study participants [11]. Of these, 137 participants were excluded from the current analysis 69 70 due to incomplete information regarding health literacy. Therefore, this report is based on 71 1753 individuals, with an overall response rate of 77.4% (1753/2265).

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2.2 Data collection 73

75 A structured interviewer-administered questionnaire (including 25 items) was used to assess 76 four dimensions of health literacy: access (5 items), understanding (7 items), appraisal (8 77 items) and application (5 items) of health information in three different situations/domains: 78 health promotion, disease prevention and cure of disease. The health literacy instrument 79 employed in the current study was developed in the framework of a large EU supported 80 project [2].

81 Participants were asked to assess, in a scale ranging from 1 (unable - implying least health 82 literacy score) to 5 (without any difficulty - maximal health literacy score), their level of 83

difficulty with regard to access/understanding/appraisal/application of health information.

84 The health literacy instrument was pre-tested in a sample of older people (N=38) attending 85 primary health care services in Kosovo and Albania before conducting the current survey.

86 A full version of the 25-item instrument used for the assessment of health literacy in our 87 study is presented in Appendix 1.

88 An overall health literacy score (overall index) was calculated for each participant ranging 89 from 25 (least health literacy score) to 125 (maximal health literacy score). In addition, four 90 subscale scores (domain indexes) were calculated in line with the four domains explored 91 namely: access (range: 5-25), understanding (range: 7-35), appraisal (range: 8-40) and 92 application (range: 5-25) of health information.

93 Information on socio-demographic (age and sex) and socioeconomic characteristics (place 94 of residence, marital status, educational level, and self-perceived poverty) was also 95 collected.

96 2.3 Statistical analyses

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98 Age-sex and place-of-residence standardized/weighted percentages and their respective 99 95% confidence intervals (95%CIs) were calculated for the socioeconomic characteristics of 100 study participants.

101 Cronbach's alpha, used to assess the internal consistency of the health literacy instrument, 102 ranged from 0.90 to 0.94 for the subscale scores and the overall health literacy score.

103 Mann-Whitney test was used to compare mean values of health literacy scores by different 104 categories of demographic and socioeconomic characteristics.

105 Spearman's correlation coefficient was used to assess the linear association between health 106 literacy indexes (subscale scores).

107 General linear model was used to assess the association between the overall health literacy 108 index and socio-demographic and socioeconomic factors. Age-adjusted and multivariable-109 adjusted mean values and their respective 95%CIs of the overall health literacy score

110 according to different categories of the socioeconomic characteristics were calculated.

- 111 SPSS, version 15.0 was used for all the statistical analyses.
- 112

3. RESULTS AND DISCUSSION 113

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115 Mean age of participants (54% women) was 73.4±6.3 years. On average, participants had 116 4.5 years of formal education, 62% resided in rural areas, and 48% regarded themselves as 117 poor (Table1).

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Table 1. Distribution of socioeconomic characteristics in a representative sample of

older people in Kosovo in 2011

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	Mei	n (N=886)	Wom	en (N=867)	Total (N=1753)		
Variablo	Number	Standardized	Number Standardized		Number	Standardized	
Vallable	(percent) [*]	percentage	(percent)	percentage	(percent)	percentage	
		(95% CI) [†]		(95% CI)		(95% CI)	
Age:							
65-74 years	278 (31.4)	66.1 (65.7-66.4)	278 (32.1)	62.9 (62.5-63.3)	556 (31.7)	64.4 (64.1-64.6)	
75-84 years	325 (36.7)	30.2 (29.9-30.6)	308 (35.5)	31.4 (31.1-31.8)	633 (36.1)	30.8 (30.6-31.1)	
≥85 years	283 (31.9)	3.7 (3.6-3.9)	281 (31.9)	5.7 (5.5-5.9)	564 (32.2)	4.8 (4.7-4.9)	
Residence:							
Rural	450 (50.8)	61.8 (61.4-62.2)	452 (52.1)	62.1 (61.7-62.4)	902 (51.5)	62.0 (61.7-62.2)	
Urban	436 (49.2)	38.2 (37.8-38.6)	415 (47.9)	37.9 (37.6-38.3)	851 (48.5)	38.0 (37.8-38.3)	
Education:							
0 years	236 (26.8)	17.2 (16.9-18.5)	540 (63.2)	48.6 (48.3-49.0)	776 (44.7)	34.0 (33.7-34.2)	
1-8 years	476 (54.0)	60.5 (60.1-60.9)	297 (34.7)	48.7 (48.3-49.0)	773 (44.5)	54.2 (53.9-54.4)	
≥9 years	169 (19.2)	22.3 (22.0-22.7)	18 (2.1)	2.7 (2.6-2.8)	187 (10.8)	11.9 (11.7-12.0)	
Marital status:							
Married							
Not married	516 (59.1)	71.7 (71.3-72.1)	225 (26.4)	39.9 (39.6-40.3)	741 (42.9)	54.7 (54.4-55.0)	
	357 (40.9)	28.3 (27.9-28.7)	628 (73.6)	60.1 (59.7-60.4)	985 (57.1)	45.3 (45.0-45.6)	
Self-perceived							
poverty:							
Not poor	463 (53.6)	57.9 (57.5-58.3)	389 (45.6)	46.6 (46.3-47.0)	852 (49.6)	51.8 (51.5-52.1)	
Poor	401 (46.4)	42.1 (41.8-42.6)	465 (54.4)	53.4 (53.0-53.7)	866 (50.4)	48.2 (47.9-48.5)	

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^{*}Absolute numbers in the sample and column percentages (in parentheses). Discrepancies

125 in the totals are due to missing covariate values.

- [†] Age- sex and-residence standardized percentages in accordance with the respective strata
- 127 weights in the sampling frame.

128 129 130 131 132	Mean overall and subscale health literacy scores were all significantly higher in men, urban residents, married individuals, among those who had at least one year of formal schooling and the better off participants (P<0.001 for all) [Table 2].
133 134	Table 2. Distribution of the overall health literacy score and subscale scores by
135	socioeconomic characteristics [*]

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		Sex		Age-group		Residence		Education		Marital status		Poverty level	
Health literacy	Total			(years)				(years)					
		Men	Women	65-74	≥75	Urban	Rural	0	≥1	Married	Not	Poor	Not
											married		poor
	76.5 ±	83.6 ±	69.3 ±	89.2 ±	70.6 ±	70.4 ±	82.9 ±	62.0 ±	88.2	84.6 ±	70.1 ±	80.7	72.4 ±
Overall score	29.9 [†]	29.2	28.9	26.9	29.4	28.3	30.3	25.6	±	28.1	29.6	±	29.4
									27.9			29.7	
	15.6 ±	16.9 ±	14.2 ±	18.1 ±	14.4 ±	14.3 ±	16.9 ±	12.8 ±	17.8	17.2 ±	14.3 ±	16.4	14.8 ±
Access	6.4	6.2	6.4	5.8	6.3	6.0	6.6	5.7	± 6.0	6.1	6.3	± 6.3	6.4
	19.1 ±	21.5 ±	16.6 ±	23.1 ±	17.2 ±	17.3 ±	20.9 ±	14.3 ±	22.9	21.6 ±	17.0 ±	20.0	18.1 ±
Understanding	8.6	8.7	7.8	8.4	8.1	7.6	9.1	6.1	± 8.5	8.5	8.1	± 8.8	8.4
	26.0 ±	28.0 ±	23.9 ±	29.8 ±	24.2 ±	24.1 ±	28.0 ±	21.6 ±	29.5	28.4 ±	24.1 ±	27.4	24.7 ±
Appraisal	10.3	9.9	10.4	9.0	10.4	10.1	10.2	9.8	± 9.3	9.4	10.6	±	10.2
												10.2	
Application	15.9 ±	17.1 ±	14.6 ±	18.3 ±	14.8 ±	14.7±	17.1 ±	13.3 ±	18.0	17.4 ±	14.7 ±	16.9	14.9 ±
	6.3	6.1	6.3	5.5	6.3	6.2	6.2	6.0	± 5.7	5.8	6.4	± 6.2	6.3

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^{*} Mann-Whitney test was used to compare the categories of individuals distinguished by sex,

139 age-group, residence, education, marital status and poverty level (all P-values: <0.001).

140 [†] Crude mean values ± standard deviations.

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143 Scores of health literacy domains/indexes were highly and significantly correlated with each-

- 144 other (Spearman's rho ranged from 0.8 to 0.9) [Table 3].
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Table 3. Correlational matrix of the overall and subscale health literacy scores

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	Overall score	Access	Understanding	Appraisal
Access	0.932 (<0.00)*	-		
Understanding	0.931 (<0.01)	0.855 (<0.01)	_	-
Appraisal	0.968 (<0.01)	0.873 (<0.01)	0.844 (<0.01)	
Application	0.933 (<0.01)	0.810 (<0.01)	0.804 (<0.001)	0.926 (<0.01)

149

^{*}Spearman's correlation coefficients and their respective p-values (in parentheses).

151

152 Age, sex, place of residence, education level, and self-perceived poverty, but not marital 153 status, were significant "predictors" of the overall health literacy score in unadjusted and 154 multivariable-adjusted general linear models (Table 4). In multivariable-adjusted analysis, 155 men and the "younger" participants reported a significantly higher mean health literacy score compared, respectively, to women (85.4 vs. 80.3, respectively) and the older participants 156 157 (90.1 vs. 73.8, respectively). Furthermore, urban residents had a significantly higher mean overall health literacy score compared to rural counterparts (86.2 vs. 79.5, respectively). 158 Education was strongly and linearly associated with health literacy score: individuals with ≥9 159 years of education had a (multivariable-adjusted) mean score of 101.5 compared to 80.1 160 among those with 1-8 years of education and 66.9 among individuals without any formal 161 162 schooling. Furthermore, wealthier participants had a significantly higher mean health literacy 163 score compared to their poorer counterparts (85.6 vs. 80.1, respectively) [Table 4]. 164

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Table 4. Association of the overall health literacy score with socioeconomic

167 characteristics; unadjusted and multivariable-adjusted mean values from the general

168

linear model

Variable	Unadjusted r	nodels	Multivariable-adjusted models [†]			
	Mean (95% CI) [*]	Р	Mean (95% CI)	Р		
Sex:						
Male	83.6 (81.6-85.5)	<0.001	85.4 (83.6-87.2)	<0.001		

Female	69.3 (67.4-71.2)		80.3 (78.0-82.5)	
Age group:		<0.001 (2) [‡]		<0.001 (2)
65-74	89.2 (86.9-91.6)	<0.001	90.1 (87.8-92.4)	<0.001
75-84	77.2 (75.0-79.4)	<0.001	84.6 (82.4-86.8)	<0.001
85+	63.1 (60.8-65.4)	-	73.8 (71.2-76.4)	-
Place of residence:				
Rural	70.4 (68.5-72.4)	<0.001	79.5 (77.4-81.6)	<0.001
Urban	82.9 (81.0-84.9)		86.2 (84.4-88.0)	
Education level:		<0.001 (2)		<0.001 (2)
0 years	62.0 (60.2-63.8)	<0.001	66.9 (64.9-68.9)	<0.001
1-8 years	83.2 (81.3-85.0)	<0.001	80.1 (78.3-82.0)	<0.001
≥9 years	109.1 (105.4-112.8)	<0.001	101.5 (97.6-105.4)	-
Marital status				
Married	84.6 (82.6-86.7)	<0.001	83.4 (81.3-85.6)	0.396
Not married	70.1 (68.3-71.9)		82.3 (80.3-84.2)	
Self-perceived poverty:				
Not poor	80.7 (78.7-82.7)	<0.001	85.6 (83.7-87.5)	<0.001
Poor	70.5 (70.5-74.4)		80.1 (78.1-82.0)	

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^{*}Range of health literacy score from 25 (least health literacy) to 125 (maximal health

171 literacy).

[†] This model, including 1676 individuals, was simultaneously adjusted for all covariates

173 presented in the table.

[‡]Overall p-values and degrees of freedom (in parentheses).

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176 Our study provides novel and important information regarding the socio-demographic and

177 socioeconomic factors associated with health literacy level among the older population in

178 Kosovo. We found significant associations of health literacy with sex, age, education, place

179 of residence and self-perceived poverty. In our study, mean values of the overall health 180 literacy index and sub-indexes were significantly lower among women, older participants, 181 rural residents, low educated individuals and those perceiving themselves as poor. 182 As a potential tool for improving decision making on health, health literacy could be of 183 particular importance among older persons which are often regarded as a disadvantaged 184 population group. Furthermore, health literacy deteriorates with age. For example, the score 185 of functional health literacy declined by 0.9 for every year of increase in age, controlling for a 186 number of socio-demographic variables [12], whereas older persons with lower literacy 187 levels had significantly higher rates of chronic conditions and worse physical health 188 compared to people with adequate health literacy [13]. Also, older individuals had a lower 189 average health literacy compared to younger adults [14]. 190 Our results are generally in concordance with those reported by previous research 191 conducted in the region and beyond, which have highlighted negative associations of health 192 literacy with age and education [14-19]. The rate of inadequate or marginal health literacy 193 was found in 81.8% of primary care patients aged \geq 65 years in a study in Serbia [18], 194 whereas 59% of adults aged 65 years or older in USA reported below basic or basic health 195 literacy levels [14] compared to 73.6% in our study. Furthermore, health literacy level was 196 reported to be significantly lower among women [18] and those below the poverty line or with 197 a lower income [14,16,18]. The association of health literacy with sex is controversial since 198 some population-based surveys have reported mean health literacy scores to be higher 199 among women than men [14,16]. These sex discrepancies might be influenced by the 200 distribution of gender education gap and educational attainment through the life course. For 201 example, our survey included people aged ≥65 years whereas other studies have surveyed 202 people aged ≥16 years [14] and 18-90 years [16]; usually females are overrepresented 203 among tertiary education students and graduates [20] and they perform better compared to 204 males [21] in developed countries. On the other hand, almost two-thirds of female 205 participants in our survey had no formal schooling and this fact, giving the strong association 206 between health literacy and education, might explain the different sex health literacy results 207 between our study and those reported elsewhere. 208 The two most widely used tests for measuring health literacy are the Rapid Estimate of Adult 209 Literacy in Medicine (REALM) and the Test of Functional Health Literacy in Adults 210 (TOFHLA). The first one mainly tests the recognition of medical and health related terms 211 [22], whereas TOFHLA assesses numeracy and comprehension skills thus determining 212 whether subjects can read or understand a written prescription [23]. Upon a standardized 213 measurement scale with TOFHLA, in our study, inadequate and marginal health literacy was 214 found in 58.7% and 14.9% of participants, whereas the remaining 26.4% of individuals had 215 an adequate level of health literacy. 216 Health literacy among old adults has been measured in different settings and using various 217 health literacy tools [12-13,18,24-25] whereas other studies have explored the health literacy 218 in relation to health care, disease prevention and health system navigation [14,17]. 219 We used a new instrument trying to capture the areas embedded in the current broader 220 concept of health literacy which covers both personal abilities and health system 221 characteristics determining one's ability for making sound health decisions. Our tool was a 222 preliminary version of the HLS-EU instrument, developed by the European Health Literacy 223 Consortium and discussed elsewhere [2]. 224 It is important to study the socioeconomic correlates of health literacy as they can partly 225 explain the pathway to unfavorable health outcomes. The personal socioeconomic and 226 demographic characteristics of a person together with personal aspects such as vision and 227 hearing skills, or verbal ability determine the level of health literacy at a point in time. This 228 level of health literacy then determines the interactions of the individual with the health 229 system in terms of access and utilization of health care, the quality of doctor-patient 230 interaction and self-care, leading finally to various health outcomes [26]. It is obvious that, 231 other things being equal, the better the health literacy level, the better the health outcomes.

232 In this context, the aim should always be toward improvement of the health literacy level of 233 individuals and, to achieve this objective, the following potential routes are suggested: a) 234 improve health literacy in the population; b) improve written and multimedia communication; 235 c) improve oral communication in health care visits; and, d) alter the system of care by 236 making the task or situation less demanding through, for instance, simplifying or making the 237 system more "readable" [2,27]. Education seems to be vital for increasing the level of health 238 literacy which consequently leads to behavioral change. Thus, it has been suggested that 239 educating diabetic patients about disease self-management may result in higher 240 engagement in healthy behaviors and preventive health care services [28]. Yet, changing 241 behaviors is a complex process and different behavioral change theories have been 242 suggested to explain the attitudes-to-behavior change transition, either through a series of 243 attitude changes, or consequential behavioral change [29]. However, caution is needed 244 about the education-age relationship and attitudes and behavior change. Educational 245 activities might sometimes need to be customized to specific needs of older people as they 246 might be less likely to engage and/or benefit from some educational activities such as 247 computer delivered information [28,30] and are less susceptible to behavioral change [31]. 248 Our study has several limitations in line with its cross-sectional design which is susceptible 249 to biases of selection and information. Our study included a large population-based sample 250 and the response rate was guite high. Furthermore, the instrument we used for assessment 251 of health literacy was based on a vigorous research work conducted in the framework of a 252 large EU supported project [2]. In addition, we pre-tested our health literacy tool in a sample 253 of older people in Kosovo and Albania before conducting the current survey. Yet, we cannot 254 dismiss the possibility of differential reporting among categories of older people differing in 255 socioeconomic characteristics. Finally, findings from cross-sectional studies should be 256 interpreted with caution.

257 258

259 4. CONCLUSION

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This is probably the first report from the Western Balkans addressing health literacy in a population-based sample. Health literacy is an under-researched topic in countries of Southeast Europe and future prospective studies should be conducted in order to determine the magnitude and determinants of health literacy among the older population in Kosovo and other transitional settings.

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- 272
- 273 274

275 COMPETING INTERESTS

276 277 None deale

277 None declared.

278 279

280 AUTHORS' CONTRIBUTIONS

281

ET, GB and HB contributed to the study conceptualization and design, analysis and
 interpretation of the data and writing of the article. KS and ER contributed to the content and
 structure of the manuscript. NJ and NR contributed to the acquisition of the data and

285 <u>commented on the manuscript. All authors have read and approved the submitted</u>
 286 <u>manuscript.</u>

287 288

289 CONSENT

290

All authors declare that 'written informed consent was obtained from the patient (or other approved parties) for publication of this case report and accompanying images. A copy of the written consent is available for review by the Editorial office/Chief Editor/Editorial Board members of this journal."

295 296

297 ETHICAL APPROVAL

298

An ethical approval from the Professional Ethical Board of the Ministry of Health of Kosovo is
 available.

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386

387

388 APPENDIX

389

390 Appendix 1 – Instrument for assessment of health literacy

391 Access:

- 392 1. Are you able to find information about diseases?
- 393 2. Are you able to inform yourself about treatments?
- 394 3. Are you able to find information about risks such as e.g. smoking, obesity?
- 395 4. Are you able to find information on how to stay healthy?
- 396 5. Are you able to obtain information on e.g. healthy food and how to stay fit?
- 397 398 Understand:
- 399 1. Are you able to understand the content of leaflets that come with medications?
- 400 2. Are you able to understand medical prescriptions?
- 401 3. Are you able to read risk information brochures found at pharmacies, in hospitals or at a doctor's clinic?
- 403 4. Are you able to understand information about risky behavior as e.g. driving drunk, using404 drugs and smoking?
- 405 5. Are you able to understand the content of food labels?
- 406 6. Are you able to understand the importance of a healthy lifestyle?
- 407 7. Are you able to understand the importance of a healthy environment e.g. at school, at the
- 408 workplace, at home and in the neighborhood?
- 409
- 410 Appraise:
- 411 1. Are you able to discuss medical information with your doctor/pharmacist?

- 412 2. Are you able to consider risk and benefit of treatment options?
- 413 3. Are you able to judge what medical advice is best for you?
- 414 4. Are you able to identify your own risk actions?
- 415 5. Are you able to learn from other people's risky behavior?
- 416 6. Are you able to critically appraise risk information from health authorities/friends,
- 417 family/media?
- 418 7. Are you able to appraise your own health related habits?
- 419 8. Are you able to consider risk and benefit of healthy choices with regards to e.g. food and 420 exercise?
- 420 exercise 421
- 422 Apply:
- 423 1. Are you able to follow instructions that a doctor/nurse/pharmacist gives you?

- 424 2. Are you able to follow instructions that health authorities give you e.g. get a vaccination;
- 425 take part in screening; drive safely?
- 426 3. Are you able to change your risk-related habits, if you want to?
- 427 4. Are you able to get access to healthy products?
- 428 5. Are you able to use health information to your own benefit?
- 429
- 430 Answer categories:
- 431 Without any difficulty 5
- 432 With little difficulty
- 433With some difficulty3434Very difficult2
- 434Very difficult2435Unable to1